



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

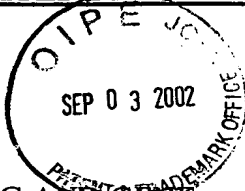
Zhihao Yang, et al

DNA SEQUENCING AND GENE  
IDENTIFICATION

Serial No. US 10/086,087

Filed 28 February 2002

Commissioner for Patents  
Washington, D.C. 20231



Group Art Unit:

Examiner:

I hereby certify that this correspondence is being  
deposited today with the United States Postal Service  
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*Carol A. Kukurudza*  
Carol A. Kukurudza

*August 29, 2002*  
Date

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Sir:

**INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION**  
**BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99**

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. Unless otherwise indicated, a full text copy of each document is attached. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is enclosed.

- III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):
- ☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.
- IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):
- ☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



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Enclosures

MAIL DATE CANCELLED

FORM PTO-1449

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

AUG 03 2002

Atty. Docket No.

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Customer No. 01333

Serial No.

US 10/086,087

If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee

Applicant:

Zhihao Yang, et al

## LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Filing Date

28 February 2002

Group

2621

## U.S. PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,846,727	12/8/98	Soper et al.			
	5,209,834	5/11/93	Shera			
	4,962,037	10/9/90	Jett et al.			
	5,405,747	4/11/95	Jett et al.			
	6,049,380	4/11/00	Goodwin et al.			
	5,445,008	8/29/95	Wachter et al.			
	4,237,224	12/2/80	Cohen et al.			
	5,252,743	10/12/93	Barrett et al.			
	6,055,002	4/25/00	Wen et al.			
	5,876,675	3/2/99	Kennedy			
	6,048,498	4/11/00	Kennedy			
	6,240,790 B1	6/5/01	Swedberg et al.			
	6,192,939 B1	2/27/01	Yao et al.			
	6,284,113 B1	9/4/01	Bjornson et al.			

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## FOREIGN PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	01/70400		PCT				X
	01/01025		PCT				X
	01/12327		PCT				X

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.